

Invitation to participate in a Delphi Survey

Within the frame of the project "Green Dealings – Negotiating Lithium between South America and Europe for Batteries that Fuel a Just Energy Transition", we will carry out a Delphi Survey. The general objective of the survey is to ascertain the opinion of different stakeholders about the challenges in achieving sustainability in the lithium battery global value chains, from the mining stage to the production of electric vehicles and recycling.

The Delphi methodology is an information collection technique used to capture the opinion of experts or actors highly involved in a topic in which there is scarce information available. The survey is anonymous and confidential. It has an iterative dynamic, as it develops in 3 rounds. The results from the previous rounds are circulated among the participants. The Delphi survey will be entirely virtual, in the interest of expanding its geographical reach and reducing the time commitment required by respondents.

The overall objective of the Delphi survey is to identify the areas of dissent and reach consensus regarding key issues. More specifically, it aims to explore:

- 1. The **challenges** that the development of the global lithium battery value chain pose for the economic, social and environmental sustainability of the South American countries in which lithium mining takes place.
- 2. The **factors** that facilitate or hinder the battery value chain to be considered just for these countries.
- 3. **Initiatives or policy tools** key to improving the conditions of sustainability and justice where the mining activities take place.

Three consultation rounds will be carried out, according to the following schedule:

- 1. First round: August/September (2022).
- 2. Second round: October (2022).
- 3. Third round: November (2022).

The survey will include stakeholders with experience in different fields (**government, industry, NGOs, civil society, academia**). Participation involves completing — anonymously - one questionnaire **via the internet** for each round, each of which takes approximately 15 minutes. We request that participation be maintained for all three rounds, in order to ensure that the information and the consensus built are the results of a collective construction by experts in the field.

The participants will be invited to a **virtual session in which the results of the survey will be presented**, with strict preservation of the anonymity of the respondents. On that occasion, participants will be able to comment and give feedback on the report. In addition, they will receive a publication with the analysis of the results.

The results of the survey will be disclosed through a publication, maintaining the anonymity of its participants. Likewise, based on the relation with our <u>institutional partners</u> and contacts with



public bodies, we hope that results of the survey will contribute to defining key initiatives and policy efforts to achieve a more sustainable and just lithium battery value chain, taking into account the views of the stakeholders responding to the survey.

Your registration information, as well as the answers recorded in each round, will be stored in servers located in Switzerland, as required by the regulations of the Swiss Network for International Studies. The results will be processed for statistical purposes, treated anonymously and presented with a high level of aggregation. Apart from the anonymized, highly aggregated results, no data or information received will be disseminated to third parties, nor will a commercial use be made of it.

We hope that the survey will have the widest **coverage** possible, both from the geographical point of view as well as the variety of activities involved. Therefore, we would be grateful if you could **forward this invitation to those with experience and knowledge on the lithium battery value chain, who might be interested in participating.**

We appreciate your collaboration and remain available for any questions which may arise. For any query related to the survey please direct your message to: delphi@green-dealings.com

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